

**EXISTENCE AND MULTIPLICITY FOR QUASI-CRITICAL FOURTH ORDER QUASILINEAR PROBLEMS WITH GENERALIZED VANISHING POTENTIALS**

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ABSTRACT. We study the following fourth order quasilinear elliptic equation under Navier boundary conditions in the whole space  $\mathbb{R}^N$

$$\begin{cases} -\Delta(-\Delta u)^{\frac{1}{p-1}} + \rho(x)u^{\frac{1}{p-1}} = \sigma(x)f(u) & \text{in } \mathbb{R}^N, \\ u, \Delta u \rightarrow 0 & \text{as } |x| \rightarrow +\infty, \end{cases}$$

with weights  $\rho$  and  $\sigma$  which are allowed to be vanishing at infinity and in presence of quasi-critical power nonlinearities  $f$ . Existence and multiplicity results are proved by means of Mountain Pass Theorem and its symmetric version thanks to some compact imbeddings in  $\sigma$ -weighted Lebesgue spaces. These results apply to an equivalent nonlinear elliptic system of Lane-Emden type and in particular to a biharmonic equation under Navier conditions in  $\mathbb{R}^N$ .

1. INTRODUCTION

In the last years we have treated the following class of fourth-order quasilinear elliptic problems

$$(1.1) \quad \begin{cases} -\Delta(-\Delta u)^{\frac{1}{p-1}} + \rho(x)u^{\frac{1}{p-1}} = f(x, u) & \text{in } \Omega \text{ (resp. in } \mathbb{R}^N), \\ u = \Delta u = 0 \text{ (resp. } u, \Delta u \rightarrow 0) & \text{in } \partial\Omega \text{ (resp. as } |x| \rightarrow +\infty), \end{cases}$$

where  $\Omega \subset \mathbb{R}^N$  with  $N \geq 3$ ,  $1 < p \leq 2$ ,  $\rho : \mathbb{R}^N \rightarrow \mathbb{R}$  is a Lebesgue measurable weight and  $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  (resp.  $f : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$ ) satisfies suitable growth assumptions. For simplicity, we denote  $s^\alpha = \text{sgn}(s)|s|^\alpha$  the odd extension of the power function. Related to the growth behavior of  $f$  we are interested in, we say that  $f$  has a  $(\frac{p}{p-1} - 1)$ -superlinear but subcritical growth if the growth of  $f(x, u)$  is comparable with  $u^{q-1}$  with  $q > 1$  and  $1 - \frac{2}{N} < \frac{1}{p} + \frac{1}{q} < 1$ , i.e. with  $\frac{p}{p-1} < q < \frac{Np}{(N-2)p-N}$  while  $f(x, u)$  has a  $(\frac{p}{p-1} - 1)$ -sublinear growth if its growth is comparable with  $u^{q-1}$  with  $q > 1$  and  $\frac{1}{p} + \frac{1}{q} > 1$ , i.e.  $q < \frac{p}{p-1}$ ; instead,  $f(x, u)$  possesses a quasi-critical growth if it behaves like  $u^{q-1}$  with  $p$  and  $q$  belonging to the critical hyperbola

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$\frac{1}{p} + \frac{1}{q} = 1 - \frac{2}{N}$ , that is  $q = \frac{Np}{(N-2)p-N}$ . The interest in this kind of problems arises mainly from the need to solve nonlinear Lane-Emden elliptic systems of the type

$$(1.2) \quad \begin{cases} -\Delta u = v^{p-1} & \text{in } \Omega \text{ (resp. in } \mathbb{R}^N), \\ -\Delta v = -\rho(x)u^{\frac{1}{p-1}} + f(x, u) & \text{in } \Omega \text{ (resp. in } \mathbb{R}^N), \\ u = \Delta u = 0 \text{ (resp. } u, \Delta u \rightarrow 0) & \text{in } \partial\Omega \text{ (resp. as } |x| \rightarrow +\infty), \end{cases}$$

which are equivalent to (1.1). Indeed, when  $N \geq 3$  and  $1 < p \leq 2$  it is well known (see e.g. [18]) that the standard functional associated to systems (1.2) is strongly indefinite in interpolation spaces of infinite dimension. In order to overcome this problem, by arguing as in [16] (see also [17]) and by applying a decoupling technique i.e., by exploiting the ‘‘change of variable’’  $(-\Delta u)^{\frac{1}{p-1}} = v$  which works since  $g(v) = v^{p-1}$  is an increasing function, it is possible to rewrite systems (1.2) as the equivalent fourth order quasilinear elliptic problems (1.1). Clearly, if  $u$  is a weak solution of (1.1), we get a weak solution of systems (1.2) which is the couple  $(u, (-\Delta u)^{\frac{1}{p-1}})$ . Existence, multiplicity and regularity results to (1.1) (resp. (1.2)) have been stated in bounded domains  $\Omega \subset \mathbb{R}^N$  when  $\rho(x) = 0$  or in unbounded domains when  $\rho(x) \neq 0$  both in the  $(\frac{p}{p-1} - 1)$ -superlinear but subcritical case (see Barile and Salvatore [3, 4, 5], Candela and Salvatore [14], dos Santos [21] and references therein) and in the  $(\frac{p}{p-1} - 1)$ -sublinear case (see Barile and Salvatore [6, 7, 8], Bonheure, dos Santos and Ramos [13] and Felmer and Martinez [22] and cited papers within). In the critical case, because of the lack of compactness of the problem, non-existence of solutions has been stated in [26] and [28] by using Pohozaev type arguments.

Besides the equivalence with classes of systems (1.2), problems like (1.1) are also interesting since, in the particular case  $p = 2$ , they reduce to biharmonic equations with Navier boundary conditions of the form

$$\begin{cases} \Delta^2 u = -\rho(x)u + f(x, u) & \text{in } \Omega \text{ (resp. in } \mathbb{R}^N), \\ u = \Delta u = 0 \text{ (resp. } u, \Delta u \rightarrow 0) & \text{in } \partial\Omega \text{ (resp. as } |x| \rightarrow +\infty), \end{cases}$$

which have been studied in the last years and in different cases by several authors like Alves and do Ó [1], Bastos, Miyagaki and Vieira [9], Berchio and Gazzola [10], Bernís, Garcia Azorero and Peral [12], Chabrowski and do Ó [15], Demarque and Miyagaki [19], Deng and Shuai [20] and references within. Really, observe that when  $1 < p \leq 2$  the operator  $-\Delta(-\Delta u)^{\frac{1}{p-1}}$  involved in (1.1) can be seen as an alternative generalization of the biharmonic operator  $\Delta^2 u$  with respect to the classical definition of the polyharmonic operator  $(-\Delta)^m u$  (see Gazzola, Grunau and Sweers [23]).

Specific aim of this paper is to study the following fourth order quasilinear elliptic problem under Navier conditions in  $\mathbb{R}^N$

$$(1.3) \quad \begin{cases} -\Delta(-\Delta u)^{\frac{1}{p-1}} + \rho(x)u^{\frac{1}{p-1}} = \sigma(x)f(u) & \text{in } \mathbb{R}^N, \\ u, \Delta u \rightarrow 0 & \text{as } |x| \rightarrow +\infty, \end{cases}$$

and therefore the equivalent nonlinear Lane-Emden elliptic problem

$$(1.4) \quad \begin{cases} -\Delta u = v^{p-1} & \text{in } \mathbb{R}^N, \\ -\Delta v = -\rho(x)u^{\frac{1}{p-1}} + \sigma(x)f(u) & \text{in } \mathbb{R}^N, \\ u, v \rightarrow 0 & \text{as } |x| \rightarrow +\infty, \end{cases}$$

where  $N \geq 5$  and  $\frac{N}{N-2} < p \leq 2$ , the continuous functions  $\rho, \sigma : \mathbb{R}^N \rightarrow \mathbb{R}$  belong to a general class of weights such that decaying and vanishing ones are covered and  $f : \mathbb{R} \rightarrow \mathbb{R}$  is a

suitable quasi-critical power nonlinear term with critical exponent

$$\left(\frac{p}{p-1}\right)^{**} = \frac{Np}{(N-2)p-N} \in \mathbb{R}$$

since  $p > \frac{N}{N-2}$  (see Section 2). The strenght of this investigation is that, to the best of our knowledge, problem (1.3) (resp. (1.4)) has not been studied before under these general assumptions on the vanishing functions  $\rho$  and  $\sigma$  and on the nonlinearities  $f$ . These classes of potentials and nonlinearities allow us to recover the lack of the compactness of the problem. Moreover, as we will specify later, we extend in part or complement the results established in the case  $p = 2$  for the biharmonic problem

$$(1.5) \quad \begin{cases} \Delta^2 u + \rho(x)u = \sigma(x)f(u) & \text{in } \mathbb{R}^N, \\ u, \Delta u \rightarrow 0 & \text{as } |x| \rightarrow +\infty, \end{cases}$$

in particular by Bastos, Miyagaki and Vieira [9] and references therein like Alves and do Ó [1] and Demarque and Miyagaki [19] and the ones stated by Deng and Shuai [20].

Specifically, we assume the following hypotheses on the potentials  $\rho$  and  $\sigma$ :

- ( $\rho_1$ )  $\rho \in C(\mathbb{R}^N, \mathbb{R})$  and  $\rho(x) > 0$  for every  $x \in \mathbb{R}^N$ ;
- ( $\sigma_1$ )  $\sigma \in C(\mathbb{R}^N, \mathbb{R})$ ,  $\sigma(x) > 0$  for every  $x \in \mathbb{R}^N$  and  $\sigma \in L^\infty(\mathbb{R}^N)$ ;
- ( $\sigma_2$ ) if  $\{\Omega_n\} \subset \mathbb{R}^N$  is a sequence of Borel sets such that  $\text{meas}(\Omega_n) \leq R$  (where  $\text{meas}$  denotes the Lebesgue measure) for all  $n \in \mathbb{N}$  and some  $R > 0$ , then

$$\lim_{r \rightarrow +\infty} \int_{\Omega_n \cap B_r^c(0)} \sigma(x) = 0 \quad \text{uniformly with respect to } n \in \mathbb{N}.$$

Furthermore, one of the below conditions relating  $\rho$  and  $\sigma$  occurs:

$$(\rho\sigma_1) \quad \frac{\sigma}{\rho} \in L^\infty(\mathbb{R}^N)$$

or

$$(\rho\sigma_2) \quad \text{there exists } m \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right) \text{ such that}$$

$$\lim_{|x| \rightarrow +\infty} \frac{\sigma(x)}{\rho(x)^\gamma} = 0 \quad \text{with } \gamma = \frac{\left(\frac{p}{p-1}\right)^{**} - m}{\left(\frac{p}{p-1}\right)^{**} - p} \in (0, 1).$$

Regarding the nonlinear term  $f$ , we assume the following conditions in the origin and at infinity:

$$(f_1) \quad f \in C(\mathbb{R}, \mathbb{R}) \text{ and if } (\rho\sigma_1) \text{ holds, then}$$

$$\lim_{|s| \rightarrow 0^+} \frac{f(s)}{|s|^{\frac{1}{p-1}}} = 0$$

or

$$(f_2) \quad f \in C(\mathbb{R}, \mathbb{R}) \text{ and if } (\rho\sigma_2) \text{ holds, then}$$

$$\lim_{|s| \rightarrow 0^+} \frac{f(s)}{|s|^{m-1}} = 0$$

$$\text{with } m \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right) \text{ defined in } (\rho\sigma_2);$$

(f<sub>3</sub>)  $f$  has a quasi-critical growth at infinity, namely,

$$\lim_{|s| \rightarrow +\infty} \frac{f(s)}{|s|^{\left(\frac{p}{p-1}\right)^{**}-1}} = 0;$$

(f<sub>4</sub>) there exists  $\mu \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right)$  such that

$$0 < \mu F(s) = \mu \int_0^s f(t) dt \leq f(s)s \quad \text{for all } s \in \mathbb{R} \setminus \{0\};$$

(f<sub>5</sub>)  $f$  is odd with respect to  $s$ , i.e.  $f(-s) = -f(s)$  for every  $s \in \mathbb{R}$ .

**Remark 1.** By making some minor technical changes throughout the paper, we can replace the limit in assumptions (f<sub>1</sub>), (f<sub>2</sub>) and (f<sub>3</sub>) with the limit superior and in addition in (f<sub>3</sub>) we can require it is finite.

**Remark 2.** By assumptions (f<sub>1</sub>) and (f<sub>3</sub>), for any  $\varepsilon > 0$  there exists a constant  $C_\varepsilon > 0$  such that

$$(1.6) \quad |f(s)| \leq \varepsilon |s|^{\frac{1}{p-1}} + C_\varepsilon |s|^{\left(\frac{p}{p-1}\right)^{**}-1} \quad \text{for all } s \in \mathbb{R}$$

and by integration

$$(1.7) \quad |F(s)| \leq \varepsilon \frac{(p-1)}{p} |s|^{\frac{p}{p-1}} + \frac{C_\varepsilon}{\left(\frac{p}{p-1}\right)^{**}} |s|^{\left(\frac{p}{p-1}\right)^{**}} \quad \text{for all } s \in \mathbb{R}.$$

Similarly, by hypotheses (f<sub>2</sub>) and (f<sub>3</sub>), for any  $\varepsilon > 0$  there exists a constant  $C_\varepsilon > 0$  such that

$$(1.8) \quad |f(s)| \leq \varepsilon |s|^{m-1} + C_\varepsilon |s|^{\left(\frac{p}{p-1}\right)^{**}-1} \quad \text{for all } s \in \mathbb{R}$$

and by integration

$$(1.9) \quad |F(s)| \leq \varepsilon \frac{1}{m} |s|^m + \frac{C_\varepsilon}{\left(\frac{p}{p-1}\right)^{**}} |s|^{\left(\frac{p}{p-1}\right)^{**}} \quad \text{for all } s \in \mathbb{R}.$$

**Remark 3.** By hypothesis (f<sub>4</sub>), fixed any  $s_0 > 0$  we have that

$$(1.10) \quad F(s) \geq \frac{F(s_0)}{|s_0|^\mu} |s|^\mu \quad \text{for all } s \in \mathbb{R} \text{ such that } |s| \geq s_0.$$

Setting  $C_{s_0, \mu} = \frac{F(s_0)}{|s_0|^\mu} > 0$ , we can find constants  $C, C_1 > 0$  such that

$$(1.11) \quad F(s) \geq C |s|^\mu - C_1 \quad \text{for all } s \in \mathbb{R}.$$

Therefore, since  $\mu > \frac{p}{p-1}$  we deal with  $\frac{p}{p-1}$ -superquadratic functions  $F$ .

At this point we can state our results. For the definition of the working space  $\mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  (resp.  $\mathcal{D}^{2,2}(\mathbb{R}^N)$  in the case  $p = 2$ ) and the functional  $I$  associated to (1.3) we refer to Section 2.

**Theorem 1.** *Let  $N \geq 5$  and  $\frac{N}{N-2} < p \leq 2$ . Suppose that  $(\rho_1)$ ,  $(\sigma_1)$ ,  $(\sigma_2)$ ,  $(\rho\sigma_1)$  (resp.  $(\rho\sigma_2)$ ) and  $(f_1)$  (resp.  $(f_2)$ ),  $(f_3)$  and  $(f_4)$  hold. Then,  $I$  admits a non-trivial critical point  $\bar{u} \in \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  of Mountain Pass type therefore problem (1.3) admits a non-trivial weak solution  $\bar{u} \in \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ .*

*Moreover, if  $f$  satisfies also  $(f_5)$ , then  $I$  has an unbounded sequence  $\{\bar{u}_n\}$  of non-trivial min-max type critical points  $\bar{u}_n \in \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  thus problem (1.3) possesses an infinitely many non-trivial weak solutions  $\bar{u}_n \in \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ .*

Taking into account the equivalence of problem (1.3) with Lane-Emden type system (1.4), once we have found a weak solution  $\bar{u} \in \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  (resp. a sequence of weak solutions  $\{\bar{u}_n\} \subset \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ ) of (1.3), we get a weak solution (resp. a sequence of weak solutions) of system (1.4) which is the couple  $(\bar{u}, (-\Delta\bar{u})^{\frac{1}{p-1}})$  (resp. the couples sequence  $\{(\bar{u}_n, (-\Delta\bar{u}_n)^{\frac{1}{p-1}})\}$ ). Therefore, as a direct consequence of Theorem 1 we can obtain the following result which is also new within the framework of Lane-Emden type systems.

**Theorem 2.** *Let  $N \geq 5$  and  $\frac{N}{N-2} < p \leq 2$ . Suppose that  $(\rho_1)$ ,  $(\sigma_1)$ ,  $(\sigma_2)$ ,  $(\rho\sigma_1)$  (resp.  $(\rho\sigma_2)$ ) and  $(f_1)$  (resp.  $(f_2)$ ),  $(f_3)$  and  $(f_4)$  hold. Then, system (1.4) admits a non-trivial weak solution  $(\bar{u}, (-\Delta\bar{u})^{\frac{1}{p-1}})$  with  $\bar{u} \in \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ .*

*If  $f$  satisfies also  $(f_5)$ , problem (1.4) has an unbounded sequence  $\{(\bar{u}_n, (-\Delta\bar{u}_n)^{\frac{1}{p-1}})\}$  then infinitely many non-trivial weak solutions  $(\bar{u}_n, (-\Delta\bar{u}_n)^{\frac{1}{p-1}})$  with  $\bar{u}_n \in \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ .*

Moreover, as observed above since in the case  $p = 2$  problem (1.3) reduces to the biharmonic problem (1.5), we emphasize that Theorem 1 covers the next result which holds for  $N \geq 5$  within the framework of biharmonic equations with quasi-critical growth and generalized vanishing potentials.

**Corollary 1.** *Let  $N \geq 5$  and  $p = 2$ . Assume that  $(\rho_1)$ ,  $(\sigma_1)$ ,  $(\sigma_2)$ ,  $(\rho\sigma_1)$  (resp.  $(\rho\sigma_2)$ ) and  $(f_1)$  (resp.  $(f_2)$ ),  $(f_3)$  and  $(f_4)$  hold (with  $p = 2$ ). Then, problem (1.5) admits a non-trivial weak solution  $\bar{u} \in \mathcal{D}^{2,2}(\mathbb{R}^N)$ .*

*If in addition  $f$  satisfies  $(f_5)$ , problem (1.5) has an unbounded sequence  $\{\bar{u}_n\} \subset \mathcal{D}^{2,2}(\mathbb{R}^N)$  of non-trivial weak solutions.*

Note that we improve the existence of a solution in the paper by Bastos, Miyagaki and Vieira [9] since the authors establish it under a quasi-critical growth assumption on  $f$  in the origin which is stronger with respect to our  $(f_1)$  (resp.  $(f_2)$ ). Since they are specifically interested in a ground state solution to (1.5), they work under a superquadraticity assumption on  $F$  at infinity which is weaker than  $(f_4)$  but under an additional monotonicity assumption on  $f$ . Moreover, we complete this paper with the multiplicity result. Consequently, we also complement the results in Demarque and Miyagaki [19] for nonradial weights  $\rho$  and  $\sigma$ , in Alves and do Ó [1] for more general weights and nonlinearities and some other references within [9]. Furthermore, we cover the existence result by Deng and Shuai [20] for  $\mu = 1$  and  $P(x) = 0$  and we establish in addition the multiplicity result. From these observations, we deduce therefore that Theorem 1 allows us to extend these results to the operator  $-\Delta(-\Delta u)^{\frac{1}{p-1}}$  for exponents  $p$  such that  $\frac{N}{N-2} < p \leq 2$  and dimensions  $N$  with  $N \geq 5$ .

The paper is organized as follows: in Section 2 we introduce the variational formulation of the problem and we prove some useful compactness results. In Section 3 we show the

assumptions of Mountain Pass Theorem and its Symmetric version are satisfied thus concluding with the proof of Theorem 1.

## 2. VARIATIONAL TOOLS

In order to prove that problem (1.3) has a variational structure, let us introduce the space

$$\mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N) = \{u \in L^{(\frac{p}{p-1})^{**}}(\mathbb{R}^N) : \int_{\mathbb{R}^N} |\Delta u|^{\frac{p}{p-1}} dx < \infty\} \hookrightarrow L^{(\frac{p}{p-1})^{**}}(\mathbb{R}^N)$$

where the critical exponent is defined as follows

$$\left(\frac{p}{p-1}\right)^{**} = \frac{Np}{(N-2)p-N} \quad \text{since } p > \frac{N}{N-2}, \text{ i.e. } 2\frac{p}{p-1} < N.$$

This space is equipped with the norm

$$\|u\|_{\mathcal{D}^{2, \frac{p}{p-1}}} = \left( \int_{\mathbb{R}^N} |\Delta u|^{\frac{p}{p-1}} dx \right)^{\frac{p-1}{p}}.$$

In the following, we denote by  $|\cdot|_t$  the usual norm on the Lebesgue space  $L^t(\mathbb{R}^N)$  with  $1 \leq t \leq +\infty$ . As  $(\rho_1)$  holds, we can consider the space

$$\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) = \{u \in \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N) : \int_{\mathbb{R}^N} \rho(x)|u|^{\frac{p}{p-1}} dx < \infty\}$$

endowed with the norm

$$\|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} = \left( \int_{\mathbb{R}^N} |\Delta u|^{\frac{p}{p-1}} dx + \int_{\mathbb{R}^N} \rho(x)|u|^{\frac{p}{p-1}} dx \right)^{\frac{p-1}{p}}$$

and we denote by  $\left(\mathcal{D}_\rho^{-2, \frac{p}{p-1}}(\mathbb{R}^N), \|\cdot\|_{\mathcal{D}_\rho^{-2, \frac{p}{p-1}}}\right)$  the normed dual space of  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . From now on, let  $1 \leq t < \infty$  and

$$L_\rho^t(\mathbb{R}^N) = \{u \in L^t(\mathbb{R}^N) : \int_{\mathbb{R}^N} \rho(x)|u|^t dx < \infty\}$$

endowed with the norm

$$|u|_{t, \rho} = \left( \int_{\mathbb{R}^N} \rho(x)|u|^t dx \right)^{\frac{1}{t}}.$$

Clearly,

$$\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) = \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N) \cap L_\rho^{\frac{p}{p-1}}(\mathbb{R}^N)$$

therefore it easily follows

$$(2.1) \quad \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) \hookrightarrow \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N) \hookrightarrow L^{(\frac{p}{p-1})^{**}}(\mathbb{R}^N) \quad \text{and} \quad \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) \hookrightarrow L_\rho^{\frac{p}{p-1}}(\mathbb{R}^N).$$

Furthermore, for every  $w \in \mathbb{R}$ ,  $1 \leq w < \infty$ , let us define the Lebesgue space

$$L_\sigma^w(\mathbb{R}^N) = \left\{ u \in L^w(\mathbb{R}^N) : \int_{\mathbb{R}^N} \sigma(x)|u|^w dx < +\infty \right\}$$

endowed with the norm

$$|u|_{w, \sigma} = \left( \int_{\mathbb{R}^N} \sigma(x)|u|^w dx \right)^{\frac{1}{w}}.$$

Recall that a weak solution of problem (1.3) is a function  $u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  such that

$$\int_{\mathbb{R}^N} (-\Delta u)^{\frac{1}{p-1}} (-\Delta v) dx + \int_{\mathbb{R}^N} \rho(x) |u|^{\frac{1}{p-1}} v dx - \int_{\mathbb{R}^N} \sigma(x) f(u) v dx = 0$$

for every  $v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ .

From now on,  $c, c_i, C, C_i$  denote real positive constants changing line from line and

$$C_{\sigma, \rho} = \left| \frac{\sigma}{\rho} \right|_{\infty} = \text{ess sup} \left\{ \frac{\sigma(x)}{\rho(x)} : x \in \mathbb{R}^N \right\} \quad \text{and} \quad C_\sigma = |\sigma|_{\infty} = \text{ess sup} \{ \sigma(x) : x \in \mathbb{R}^N \}.$$

Now, let us recall the following classical result which will be useful in order to state the variational formulation of problem (1.3).

**Lemma 1.** *Let  $\rho : \mathbb{R}^N \rightarrow \mathbb{R}$  be a function satisfying  $(\rho_1)$ . Then, the Nemytskii operator  $\tilde{h}$  associated to  $h(s) = s^{\frac{1}{p-1}}$  is continuous from  $L_\rho^{\frac{p}{p-1}}(\mathbb{R}^N)$  to  $L_\rho^p(\mathbb{R}^N)$ .*

We can state now the following variational principle.

**Proposition 1.** *Assume that  $(\rho_1)$ ,  $(\sigma_1)$ ,  $(\rho\sigma_1)$  (resp.  $(\rho\sigma_2)$ ),  $(f_1)$  (resp.  $(f_2)$ ) and  $(f_3)$  hold. Then, the weak solutions of problem (1.3) are the critical points of the energy functional defined on  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  by*

$$I(u) = \frac{p-1}{p} \int_{\mathbb{R}^N} \left( |\Delta u|^{\frac{p}{p-1}} + \rho(x) |u|^{\frac{p}{p-1}} \right) dx - \int_{\mathbb{R}^N} \sigma(x) F(u) dx, \quad u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N).$$

More precisely,  $I \in C^1(\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N))$  and its derivative  $dI : \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) \rightarrow \mathcal{D}_\rho^{-2, \frac{p}{p-1}}(\mathbb{R}^N)$  is defined as

$$(2.2) \quad dI(u)[v] = \int_{\mathbb{R}^N} \left[ (-\Delta u)^{\frac{1}{p-1}} (-\Delta v) + \rho(x) |u|^{\frac{1}{p-1}} v - \sigma(x) f(u) v \right] dx$$

for all  $u, v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ .

*Proof.* First, we prove that the functional

$$I(u) = \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - \int_{\mathbb{R}^N} \sigma(x) F(u) dx \quad \text{for any } u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$$

is well defined and its Fréchet derivative given in (2.2) is a continuous operator from  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  to  $\mathcal{D}_\rho^{-2, \frac{p}{p-1}}(\mathbb{R}^N)$ . We define and study separately the two maps

$$I_\rho(u) = \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}}, \quad I_{\sigma, F}(u) = \int_{\mathbb{R}^N} \sigma(x) F(u) dx \quad \text{for any } u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N).$$

Clearly,  $I_\rho \in C^1(\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N))$  since  $I_\rho$  is continuous from  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  to  $\mathbb{R}$  and its Gâteaux derivative at  $u$

$$dI_\rho(u)[v] = \int_{\mathbb{R}^N} (-\Delta u)^{\frac{1}{p-1}} (-\Delta v) dx + \int_{\mathbb{R}^N} \rho(x) u^{\frac{1}{p-1}} v dx$$

is a linear continuous map with respect to every  $v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . Moreover, by adapting the arguments in [3, Proof of Proposition 2.7], we prove that  $I_\rho \in C^1(\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N))$  since

$$(2.3) \quad \|dI_\rho(u_n) - dI_\rho(u)\|_{\mathcal{D}_\rho^{-2, \frac{p}{p-1}}} \rightarrow 0 \quad \text{if } u_n \rightarrow u \text{ in } \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N).$$

Indeed, by Hölder inequality and imbeddings in (2.1) we get for all  $v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$

$$\begin{aligned}
|(dI_\rho(u_n) - dI_\rho(u))[v]| &\leq \int_{\mathbb{R}^N} |(-\Delta u_n)^{\frac{1}{p-1}} - (-\Delta u)^{\frac{1}{p-1}}| |(-\Delta v)| dx \\
&\quad + \int_{\mathbb{R}^N} |\rho(x)(u_n^{\frac{1}{p-1}} - u^{\frac{1}{p-1}})| |v| dx \\
&\leq |(-\Delta u_n)^{\frac{1}{p-1}} - (-\Delta u)^{\frac{1}{p-1}}|_p |\Delta v|_{\frac{p}{p-1}} \\
&\quad + |(\rho(x))^{\frac{1}{p}}(u_n^{\frac{1}{p-1}} - u^{\frac{1}{p-1}})|_p |(\rho(x))^{\frac{p-1}{p}} v|_{\frac{p}{p-1}} \\
&\leq |(-\Delta u_n)^{\frac{1}{p-1}} - (-\Delta u)^{\frac{1}{p-1}}|_p \|v\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} \\
&\quad + |(\rho(x))^{\frac{1}{p}}(u_n^{\frac{1}{p-1}} - u^{\frac{1}{p-1}})|_p \|v\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}.
\end{aligned}$$

Since  $-\Delta u_n \rightarrow -\Delta u$  in  $L^{\frac{p}{p-1}}(\mathbb{R}^N)$ , for the continuity of the Nemytskii operator associated to  $h(s) = s^{\frac{1}{p-1}}$  from  $L^{\frac{p}{p-1}}(\mathbb{R}^N)$  to  $L^p(\mathbb{R}^N)$ , we have that

$$|(-\Delta u_n)^{\frac{1}{p-1}} - (-\Delta u)^{\frac{1}{p-1}}|_p \rightarrow 0.$$

Since  $u_n \rightarrow u$  in  $L_\rho^{\frac{p}{p-1}}(\mathbb{R}^N)$ , by Lemma 1 we have that

$$|u_n^{\frac{1}{p-1}} - u^{\frac{1}{p-1}}|_{p, \rho} = |(\rho(x))^{\frac{1}{p}}(u_n^{\frac{1}{p-1}} - u^{\frac{1}{p-1}})|_p \rightarrow 0.$$

Hence, passing to the supremum with respect to any  $v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  with  $\|v\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} \leq 1$ , we obtain (2.3) holds.

Now, we have to prove that also  $I_{\sigma, F} \in C^1(\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N))$  with

$$(2.4) \quad dI_{\sigma, F}(u)[v] = \int_{\mathbb{R}^N} \sigma(x) f(u) v dx \quad \text{for all } u, v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N).$$

First suppose that  $(\rho\sigma_1)$  and  $(f_1)$  hold. Then, by  $(\sigma_1)$  and inequality (1.7) in Remark 2 it is

$$\begin{aligned}
|I_{\sigma, F}(u)| &\leq C_{\sigma, \rho} \varepsilon \frac{(p-1)}{p} \int_{\mathbb{R}^N} \rho(x) |u|^{\frac{p}{p-1}} dx + C_\sigma C_\varepsilon \frac{1}{\left(\frac{p}{p-1}\right)^{**}} \int_{\mathbb{R}^N} |u|^{\left(\frac{p}{p-1}\right)^{**}} dx \\
&= C_{\sigma, \rho} \varepsilon \frac{(p-1)}{p} |u|_{\left(\frac{p}{p-1}\right), \rho}^{\frac{p}{p-1}} + C_\sigma C_\varepsilon \frac{1}{\left(\frac{p}{p-1}\right)^{**}} |u|_{\left(\frac{p}{p-1}\right)^{**}}^{\left(\frac{p}{p-1}\right)^{**}}
\end{aligned}$$

so by (2.1) we get  $I_{\sigma, F}(u) \in \mathbb{R}$  for every  $u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . Similarly, by  $(\sigma_1)$  and (1.6) in Remark 2 we get

$$|dI_{\sigma, F}(u)[v]| \leq C_{\sigma, \rho} \varepsilon \int_{\mathbb{R}^N} \rho(x) |u|^{\frac{1}{p-1}} |v| dx + C_\varepsilon C_\sigma \int_{\mathbb{R}^N} |u|^{\left(\frac{p}{p-1}\right)^{**} - 1} |v| dx.$$

As concerns as the first integral, let us observe that by Hölder inequality it is

$$\begin{aligned}
(2.5) \quad \int_{\mathbb{R}^N} \rho(x) |u|^{\frac{1}{p-1}} |v| dx &= \int_{\mathbb{R}^N} \left( \rho(x)^{\frac{1}{p}} |u|^{\frac{1}{p-1}} \right) \left( \rho(x)^{\frac{p-1}{p}} |v| \right) dx \\
&\leq |u|_{\frac{1}{p-1}, \rho}^{\frac{1}{p-1}} |v|_{\frac{p}{p-1}, \rho}
\end{aligned}$$

while for the second integral we get

$$\begin{aligned}
 (2.6) \quad & \int_{\mathbb{R}^N} |u| \left( \frac{p}{p-1} \right)^{** - 1} |v| dx \\
 & \leq \left( \int_{\mathbb{R}^N} |u| \left( \frac{p}{p-1} \right)^{**} dx \right)^{\frac{\left( \frac{p}{p-1} \right)^{** - 1}}{\left( \frac{p}{p-1} \right)^{**}}} \left( \int_{\mathbb{R}^N} |v| \left( \frac{p}{p-1} \right)^{**} dx \right)^{\frac{1}{\left( \frac{p}{p-1} \right)^{**}}} \\
 & = |u| \left( \frac{p}{p-1} \right)^{** - 1} |v| \left( \frac{p}{p-1} \right)^{**}.
 \end{aligned}$$

Consequently,

$$|dI_{\sigma, F}(u)[v]| \leq C_{\sigma, \rho} \varepsilon |u|_{\frac{1}{\frac{p}{p-1}, \rho}} |v|_{\frac{p}{p-1}, \rho} + C_\varepsilon C_\sigma |u|_{\left( \frac{p}{p-1} \right)^{** - 1}} |v|_{\left( \frac{p}{p-1} \right)^{**}}$$

and by (2.1) it follows that  $dI_{\sigma, F}(u)[v] \in \mathbb{R}$  for all  $u, v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ .

Now, suppose that  $(\rho\sigma_2)$  and  $(f_2)$  hold. Then, by  $(\sigma_1)$  and inequality (1.9) in Remark 2, choosen a radius  $r > 0$  we get

$$\begin{aligned}
 (2.7) \quad |I_{\sigma, F}(u)| & \leq \frac{\varepsilon}{m} \int_{\mathbb{R}^N} \sigma(x) |u|^m dx + \frac{C_\varepsilon}{\left( \frac{p}{p-1} \right)^{**}} \int_{\mathbb{R}^N} \sigma(x) |u| \left( \frac{p}{p-1} \right)^{**} dx \\
 & \leq \frac{\varepsilon}{m} \left( C_\sigma \int_{B_r(0)} |u|^m dx + \int_{B_r^c(0)} \sigma(x) |u|^m dx \right) + \frac{C_\varepsilon}{\left( \frac{p}{p-1} \right)^{**}} C_\sigma |u|_{\left( \frac{p}{p-1} \right)^{**}}.
 \end{aligned}$$

Since  $m \in \left( \frac{p}{p-1}, \left( \frac{p}{p-1} \right)^{**} \right)$  it is  $L^{\left( \frac{p}{p-1} \right)^{**}}(B_r(0)) \hookrightarrow L^m(B_r(0))$  from which it follows

$$\begin{aligned}
 (2.8) \quad \int_{B_r(0)} |u|^m dx & \leq c \left( \int_{B_r(0)} |u| \left( \frac{p}{p-1} \right)^{**} dx \right)^{\frac{m}{\left( \frac{p}{p-1} \right)^{**}}} \\
 & \leq c \left( \int_{\mathbb{R}^N} |u| \left( \frac{p}{p-1} \right)^{**} dx \right)^{\frac{m}{\left( \frac{p}{p-1} \right)^{**}}} = c |u|_{\left( \frac{p}{p-1} \right)^{**}}^m.
 \end{aligned}$$

Now, in order to estimate the integral on  $B_r^c(0)$  in (2.7) let us define for any fixed  $x \in \mathbb{R}^N$  the function

$$g(s) = \rho(x) s^{\frac{p}{p-1} - m} + s^{\left( \frac{p}{p-1} \right)^{**} - m} \quad \text{for every } s > 0$$

whose minimum value is  $C_m \rho(x)^\gamma$  with  $\gamma$  defined in  $(\rho\sigma_2)$  and

$$C_m = \left( \frac{\left( \frac{p}{p-1} \right)^{**} - \frac{p}{p-1}}{\left( \frac{p}{p-1} \right)^{**} - m} \right) \left( \frac{m - \frac{p}{p-1}}{\left( \frac{p}{p-1} \right)^{**} - \frac{p}{p-1}} \right)^{\frac{\frac{p}{p-1} - m}{\left( \frac{p}{p-1} \right)^{**} - \frac{p}{p-1}}}.$$

Hence,

$$C_m \rho(x)^\gamma \leq \rho(x) s^{\frac{p}{p-1} - m} + s^{\left( \frac{p}{p-1} \right)^{**} - m} \quad \text{for every } x \in \mathbb{R}^N \text{ and } s > 0.$$

Then, in combination with  $(\rho\sigma_2)$ , in correspondence of any  $\varepsilon > 0$  we can find a positive radius  $r > 0$  sufficiently large such that

$$\begin{aligned}
 (2.9) \quad \sigma(x) |s|^m & \leq \varepsilon \rho(x)^\gamma |s|^m \leq \varepsilon C_m^{-1} \left( \rho(x) |s|^{\frac{p}{p-1}} + |s|^{\left( \frac{p}{p-1} \right)^{**}} \right) \\
 & \quad \text{for every } s \in \mathbb{R} \text{ and } |x| \geq r.
 \end{aligned}$$

In particular, for every  $u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  we get

$$(2.10) \quad \int_{B_r^c(0)} \sigma(x) |u|^m dx \leq \varepsilon C_m^{-1} \left( |u|_{\frac{p}{p-1}, \rho}^{\frac{p}{p-1}} + |u|_{\left(\frac{p}{p-1}\right)^{**}}^{\frac{p}{p-1}} \right).$$

Therefore, by substituting (2.8) and (2.10) in (2.7) we get

$$(2.11) \quad |I_{\sigma, F}(u)| \leq \frac{\varepsilon}{m} \left( C_\sigma c |u|_{\left(\frac{p}{p-1}\right)^{**}}^m + \varepsilon C_m^{-1} \left( |u|_{\frac{p}{p-1}, \rho}^{\frac{p}{p-1}} + |u|_{\left(\frac{p}{p-1}\right)^{**}}^{\frac{p}{p-1}} \right) \right) \\ + \frac{C_\varepsilon C_\sigma}{\left(\frac{p}{p-1}\right)^{**}} |u|_{\left(\frac{p}{p-1}\right)^{**}}^{\frac{p}{p-1}}.$$

and by (2.1) we obtain  $I_{\sigma, F}(u)$  is well defined for every  $u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ .

Reasoning in a similar way, we are going also to prove that  $dI_{\sigma, F}(u)[v]$  is well posed for every  $u, v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . Indeed, by (1.8) in Remark 2, (2.6) and chosen a radius  $r > 0$  we get

$$(2.12) \quad |dI_{\sigma, F}(u)[v]| \leq \varepsilon \int_{\mathbb{R}^N} \sigma(x) |u|^{m-1} |v| dx + C_\varepsilon C_\sigma \int_{\mathbb{R}^N} |u|_{\left(\frac{p}{p-1}\right)^{**}-1} |v| dx \\ \leq \varepsilon \left( C_\sigma \int_{B_r(0)} |u|^{m-1} |v| dx + \int_{B_r^c(0)} \sigma(x) |u|^{m-1} |v| dx \right) \\ + C_\varepsilon C_\sigma |u|_{\left(\frac{p}{p-1}\right)^{**}-1} |v|_{\left(\frac{p}{p-1}\right)^{**}}.$$

Now, by Hölder inequality and since  $m < \left(\frac{p}{p-1}\right)^{**}$  implies

$$L^{\left(\frac{p}{p-1}\right)^{**}}(B_r(0)) \hookrightarrow L^{\frac{(m-1)\left(\frac{p}{p-1}\right)^{**}}{\left(\frac{p}{p-1}\right)^{**}-1}}(B_r(0)),$$

we get

$$(2.13) \quad \int_{B_r(0)} |u|^{m-1} |v| dx \\ \leq \left( \int_{B_r(0)} |u|_{\left(\frac{p}{p-1}\right)^{**}-1}^{\frac{(m-1)\left(\frac{p}{p-1}\right)^{**}}{\left(\frac{p}{p-1}\right)^{**}-1}} dx \right)^{\frac{\left(\frac{p}{p-1}\right)^{**}-1}{\left(\frac{p}{p-1}\right)^{**}}} \left( \int_{B_r(0)} |v|_{\left(\frac{p}{p-1}\right)^{**}} dx \right)^{\frac{1}{\left(\frac{p}{p-1}\right)^{**}}} \\ \leq c \left( \int_{B_r(0)} |u|_{\left(\frac{p}{p-1}\right)^{**}}^{\frac{m-1}{\left(\frac{p}{p-1}\right)^{**}}} dx \right)^{\frac{m-1}{\left(\frac{p}{p-1}\right)^{**}}} |v|_{\left(\frac{p}{p-1}\right)^{**}} \\ \leq c |u|_{\left(\frac{p}{p-1}\right)^{**}}^{m-1} |v|_{\left(\frac{p}{p-1}\right)^{**}}.$$

On the other hand, by (2.9) we have

$$(2.14) \quad \sigma(x) \leq \varepsilon \left( \rho(x) |s|^{\frac{p}{p-1}-m} + |s|_{\left(\frac{p}{p-1}\right)^{**}-m} \right) \quad \text{for every } s \in \mathbb{R} \setminus \{0\} \text{ and } |x| \geq r$$

so that

$$\sigma(x) |s|^{m-1} \leq \varepsilon \left( \rho(x) |s|^{\frac{1}{p-1}} + |s|_{\left(\frac{p}{p-1}\right)^{**}-1} \right) \quad \text{for every } s \in \mathbb{R} \text{ and } |x| \geq r.$$

Therefore, by exploiting (2.5) and (2.6) we have

$$\begin{aligned}
 (2.15) \quad & \int_{B_r^c(0)} \sigma(x) |u|^{m-1} |v| dx \\
 & \leq \varepsilon \left( \int_{B_r^c(0)} \rho(x) |u|^{\frac{1}{p-1}} |v| dx + \int_{B_r^c(0)} |u|^{\left(\frac{p}{p-1}\right)^{**}-1} |v| dx \right) \\
 & \leq \varepsilon \left( |u|_{\frac{p}{p-1}, \rho}^{\frac{1}{p-1}} |v|_{\frac{p}{p-1}, \rho} + |u|_{\left(\frac{p}{p-1}\right)^{**}}^{**} |v|_{\left(\frac{p}{p-1}\right)^{**}} \right).
 \end{aligned}$$

Consequently, by substituting (2.13) and (2.15) in (2.12) we conclude

$$\begin{aligned}
 |dI_{\sigma, F}(u)[v]| & \leq \varepsilon \left( C_\sigma c |u|_{\left(\frac{p}{p-1}\right)^{**}}^{m-1} |v|_{\left(\frac{p}{p-1}\right)^{**}} \right. \\
 & \quad \left. + \varepsilon \left( |u|_{\frac{p}{p-1}, \rho}^{\frac{1}{p-1}} |v|_{\frac{p}{p-1}, \rho} + |u|_{\left(\frac{p}{p-1}\right)^{**}}^{**} |v|_{\left(\frac{p}{p-1}\right)^{**}} \right) \right) \\
 & \quad + C_\varepsilon C_\sigma |u|_{\left(\frac{p}{p-1}\right)^{**}}^{**} |v|_{\left(\frac{p}{p-1}\right)^{**}}
 \end{aligned}$$

and by (2.1) we get  $dI_{\sigma, F}(u)[v]$  is well defined for every  $u, v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ .

Moreover, standard arguments imply that the Gâteaux derivative of  $I_{\sigma, F}$  at  $u$  is as in (2.4) and it is linear and continuous from  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  to  $\mathbb{R}$ .

As concerns as the continuity of  $dI_{\sigma, F}$  from  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  to  $\mathcal{D}_\rho^{-2, \frac{p}{p-1}}(\mathbb{R}^N)$ , i.e.

$$\|d\varphi_1(u_n) - d\varphi_1(u)\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} \rightarrow 0 \quad \text{if } u_n \rightarrow u \text{ in } \mathcal{D}_\rho^{2, \frac{p}{p-1}},$$

we can refer to and exploit Proposition 3 where the compactness of  $d\varphi_1 = dI_{\sigma, F}$  from  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  to  $\mathcal{D}_\rho^{-2, \frac{p}{p-1}}(\mathbb{R}^N)$  is stated.  $\square$

As ensured by the following result, the presence of the interacting weights  $\rho(x)$  and  $\sigma(x)$  allows us to overcome the lack of compact imbeddings of the space  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  into the Lebesgue spaces  $L^t(\mathbb{R}^N)$  with  $t \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right)$ . Here below we provide all the details of the proof since we extend to  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  the arguments employed in Bastos, Miyagaki and Vieira [9] for the space  $\mathcal{D}_\rho^{2, 2}(\mathbb{R}^N)$ . These arguments follow the line of the compactness results proved in Opic and Kufner [27] for  $\mathcal{D}_\rho^{1, 2}(\mathbb{R}^N)$  in presence of vanishing potentials  $\rho(x)$  and  $\sigma(x)$  which are included in our setting assumptions.

**Proposition 2.** *Suppose that  $(\rho_1)$ ,  $(\sigma_1)$  and  $(\sigma_2)$  are satisfied.*

(i) *If  $(\rho\sigma_1)$  holds, then*

$$\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) \text{ is compactly embedded in } L_\sigma^w(\mathbb{R}^N) \text{ for any } w \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right);$$

(ii) *if  $(\rho\sigma_2)$  holds, then*

$$\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) \text{ is compactly embedded in } L_\sigma^m(\mathbb{R}^N)$$

*with  $m \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right)$  defined in  $(\rho\sigma_2)$ .*

*Proof.* First, assume that  $(\rho\sigma_1)$  holds. Fixed  $\varepsilon > 0$ , since  $w > \frac{p}{p-1}$  there exists  $s_0 > 0$  such that

$$|s|^w \leq \varepsilon |s|^{\frac{p}{p-1}} \quad \text{for all } s \in \mathbb{R}, |s| \leq s_0.$$

Then, by  $(\rho\sigma_1)$  it is

$$\sigma(x)|s|^w \leq C_{\sigma,\rho} \rho(x) |s|^w \leq \varepsilon C_{\sigma,\rho} |s|^{\frac{p}{p-1}} \quad \text{for all } s \in \mathbb{R}, |s| \leq s_0 \text{ and } x \in \mathbb{R}^N.$$

In correspondence of  $\varepsilon > 0$ , from  $\sigma \in L^\infty(\mathbb{R}^N)$  and  $r < \left(\frac{p}{p-1}\right)^{**}$  we can find  $s_1 > 0$  such that

$$\sigma(x)|s|^w \leq \varepsilon C_\sigma |s|^{\left(\frac{p}{p-1}\right)^{**}} \quad \text{for all } s \in \mathbb{R}, |s| \geq s_1 \text{ and } x \in \mathbb{R}^N.$$

Since of continuity of the functions involved, there exists a constant  $c > 0$  such that

$$\sigma(x)|s|^w \leq c \sigma(x) \chi_{[s_0, s_1]}(|s|) |s|^{\left(\frac{p}{p-1}\right)^{**}} \quad \text{for all } s \in \mathbb{R}, s_0 \leq |s| \leq s_1 \text{ and } x \in \mathbb{R}^N$$

where  $c = \max_{s_0 \leq |s| \leq s_1} \frac{|s|^w}{|s|^{\left(\frac{p}{p-1}\right)^{**}}}$  and  $\chi_{[s_0, s_1]}$  denotes the characteristic function in the interval  $[s_0, s_1]$ .

Consequently, for any  $w \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right)$  and  $\varepsilon > 0$ , there exist  $0 < s_0 < s_1$  and a suitable constant  $C > 0$  such that

$$\begin{aligned} \sigma(x) |s|^w &\leq \varepsilon C \left( \rho(x) |s|^{\frac{p}{p-1}} + |s|^{\left(\frac{p}{p-1}\right)^{**}} \right) + C \sigma(x) \chi_{[s_0, s_1]}(|s|) |s|^{\left(\frac{p}{p-1}\right)^{**}} \\ &\quad \text{for all } s \in \mathbb{R} \text{ and for every } x \in \mathbb{R}^N. \end{aligned}$$

For every  $u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  denote by

$$Q(u) = C \left( \int_{\mathbb{R}^N} \rho(x) |u|^{\frac{p}{p-1}} dx + \int_{\mathbb{R}^N} |u|^{\left(\frac{p}{p-1}\right)^{**}} dx \right)$$

and  $\Omega = \{x \in \mathbb{R}^N : s_0 \leq |u(x)| \leq s_1\}$ . Then, for any given radius  $r_1 > 0$  we get

$$\begin{aligned} (2.16) \quad \int_{B_{r_1}^c(0)} \sigma(x) |u|^w dx &\leq \varepsilon Q(u) + C \int_{B_{r_1}^c(0)} \sigma(x) \chi_{[s_0, s_1]}(|u|) |u|^{\left(\frac{p}{p-1}\right)^{**}} dx \\ &= \varepsilon Q(u) + C \int_{B_{r_1}^c(0) \cap \Omega} \sigma(x) |u|^{\left(\frac{p}{p-1}\right)^{**}} dx \\ &\leq \varepsilon Q(u) + C s_1^{\left(\frac{p}{p-1}\right)^{**}} \int_{B_{r_1}^c(0) \cap \Omega} \sigma(x) dx. \end{aligned}$$

Now, if  $\{u_n\}$  is a sequence such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ , by (2.1) there exists a constant  $C_1 > 0$  such that

$$(2.17) \quad \int_{\mathbb{R}^N} \rho(x) |u_n|^{\frac{p}{p-1}} dx \leq C_1 \quad \text{and} \quad \int_{\mathbb{R}^N} |u_n|^{\left(\frac{p}{p-1}\right)^{**}} dx \leq C_1 \quad \text{for all } n \in \mathbb{N}.$$

Therefore,  $\{Q(u_n)\}$  is bounded from above in  $\mathbb{R}$  by  $2C_1$ , i.e.

$$(2.18) \quad Q(u_n) \leq 2C_1 \quad \text{for all } n \in \mathbb{N}$$

and, denoted by  $\Omega_n = \{x \in \mathbb{R}^N : s_0 \leq |u_n(x)| \leq s_1\}$ , it follows that

$$s_0^{\left(\frac{p}{p-1}\right)^{**}} \text{meas}(\Omega_n) \leq \int_{\Omega_n} |u_n|^{\left(\frac{p}{p-1}\right)^{**}} dx \leq C_1 \quad \text{for all } n \in \mathbb{N}$$

from which we get  $\sup_{n \in \mathbb{N}} |\text{meas}(\Omega_n)| < +\infty$ . Consequently, from  $(\sigma_2)$  in correspondence of  $\frac{\varepsilon}{C s_1 \left(\frac{p}{p-1}\right)^{**}} > 0$  there exists a radius  $r_2 = r_2(\varepsilon) > 0$  such that

$$(2.19) \quad \int_{\Omega_n \cap B_{r_2}^c(0)} \sigma(x) dx < \frac{\varepsilon}{s_1 \left(\frac{p}{p-1}\right)^{**}} \quad \text{for all } n \in \mathbb{N}.$$

By exploiting both the boundedness of  $\{Q(u_n)\}$  stated in (2.18) and (2.19) in (2.16) with  $u = u_n$ , choosen a suitable radius  $r > 0$  (e.g.  $r = \max\{r_1, r_2\}$ ) it follows

$$(2.20) \quad \int_{B_r^c(0)} \sigma(x) |u_n|^w dx \leq \varepsilon(2C_1 + 1) \quad \text{for all } n \in \mathbb{N}$$

and by Fatou' Lemma we get also

$$(2.21) \quad \int_{B_r^c(0)} \sigma(x) |u|^w dx \leq \varepsilon(2C_1 + 1) \quad \text{for all } n \in \mathbb{N}.$$

Now, since by (2.1) it is  $u \in \mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  and  $\mathcal{D}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  can be also endowed with the norm

$$|D^2 u|_{\frac{p}{p-1}} = \left( \int_{\mathbb{R}^N} |D^2 u|_{\frac{p}{p-1}}^{\frac{p}{p-1}} dx \right)^{\frac{p-1}{p}}$$

(see [24, page164]), we easily get  $D^2 u \in L^{\frac{p}{p-1}}(B_r(0))$ . Then, by [25, Corollary, page 3] it is  $D^\alpha u \in L^{\frac{p}{p-1}}(B_r(0))$  for  $|\alpha| = 0, 1$  then  $u \in W^{2, \frac{p}{p-1}}(B_r(0))$ . By compact embeddings in bounded domains it is in particular

$$W^{2, \frac{p}{p-1}}(B_r(0)) \hookrightarrow L^w(B_r(0)) \quad \text{for any } w \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right).$$

Therefore, by  $(\sigma_1)$  it follows

$$(2.22) \quad \lim_{n \rightarrow +\infty} \int_{B_r(0)} \sigma(x) |u_n|^w dx = \int_{B_r(0)} \sigma(x) |u|^w dx$$

for any  $w \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right)$ . Then, from (2.20) and (2.21) for  $\varepsilon > 0$  small enough and (2.22) we deduce

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} \sigma(x) |u_n|^w dx = \int_{\mathbb{R}^N} \sigma(x) |u|^w dx$$

from which we conclude that

$$u_n \rightarrow u \quad \text{in } L_\sigma^w(\mathbb{R}^N), \text{ for every } w \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right).$$

If  $(\rho\sigma_2)$  holds, by (2.9) we get for any  $\varepsilon > 0$  the existence of a radius  $r > 0$  sufficiently large such that

$$\int_{B_r^c(0)} \sigma(x) |u|^m dx \leq \varepsilon C_m^{-1} \left( \int_{B_r^c(0)} \rho(x) |u|^{\frac{p}{p-1}} dx + \int_{B_r^c(0)} |u|^{\left(\frac{p}{p-1}\right)^{**}} dx \right)$$

for all  $u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . If  $\{u_n\}$  is a sequence such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  there exists  $C_1 > 0$  such that inequalities in (2.17) hold therefore

$$(2.23) \quad \int_{B_r^c(0)} \sigma(x) |u_n|^m dx \leq 2\varepsilon C_1 C_m^{-1} \quad \text{for all } n \in \mathbb{N}$$

and by Fatou' Lemma it is

$$(2.24) \quad \int_{B_r^c(0)} \sigma(x)|u_n|^m dx \leq 2\varepsilon C_1 C_m^{-1} \quad \text{for all } n \in \mathbb{N}.$$

Reasoning similarly as above in order to get (2.22), since  $m \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right)$  we obtain

$$(2.25) \quad \lim_{n \rightarrow +\infty} \int_{B_r(0)} \sigma(x)|u_n|^m dx = \int_{B_r(0)} \sigma(x)|u|^m dx.$$

Then, from (2.23) and (2.24) for  $\varepsilon > 0$  small enough and (2.25) it follows

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} \sigma(x)|u_n|^m dx = \int_{\mathbb{R}^N} \sigma(x)|u|^m dx$$

and we obtain

$$u_n \rightarrow u \quad \text{in } L_\sigma^m(\mathbb{R}^N).$$

□

Thanks to Proposition 2, we can prove the following compactness result related to  $dI_{\sigma,F}$ .

**Proposition 3.** *Suppose that  $(\rho_1)$ ,  $(\sigma_1)$ ,  $(\sigma_2)$ ,  $(\rho\sigma_1)$  (resp.  $(\rho\sigma_2)$ ) and  $(f_1)$  (resp.  $(f_2)$ ) and  $(f_3)$  hold. Then, if  $\{u_n\}$  is a sequence such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ , we get*

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} \sigma(x)f(u_n)v dx = \int_{\mathbb{R}^N} \sigma(x)f(u)v dx \quad \text{for every } v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N);$$

consequently,

$$dI_{\sigma,F} \text{ is compact from } \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) \text{ in } \mathcal{D}_\rho^{-2, \frac{p}{p-1}}(\mathbb{R}^N).$$

*Proof.* Let  $\{u_n\}$  be a sequence such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . Fixed an arbitrary radius  $r > 0$  we aim to prove that for any  $v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$

$$(2.26) \quad \lim_{n \rightarrow +\infty} \int_{B_r(0)} \sigma(x)f(u_n)v dx = \int_{B_r(0)} \sigma(x)f(u)v dx$$

and

$$(2.27) \quad \lim_{n \rightarrow +\infty} \int_{B_r^c(0)} \sigma(x)f(u_n)v dx = \int_{B_r^c(0)} \sigma(x)f(u)v dx.$$

Let's start by showing (2.26). Denoted by  $\Theta_n = \{x \in \mathbb{R}^N : |u_n(x)| \leq 1\}$  (resp.  $\Theta = \{x \in \mathbb{R}^N : |u(x)| \leq 1\}$ ) and  $\chi_{\Theta_n}$  (resp.  $\chi_\Theta$ ) its characteristic function, we first prove that

$$(2.28) \quad \lim_{n \rightarrow +\infty} \int_{B_r(0)} \sigma(x)f(u_n)\chi_{\Theta_n} v dx = \int_{B_r(0)} \sigma(x)f(u)\chi_\Theta v dx.$$

Suppose  $(\rho\sigma_1)$  holds and  $f$  satisfies  $(f_1)$ . Since  $\sigma(x)^{\frac{p-1}{p}} \leq C_{\sigma,\rho}^{\frac{p-1}{p}} \rho(x)^{\frac{p-1}{p}}$ , we get easily  $\sigma(x)^{\frac{p-1}{p}} v \in L^{\frac{p}{p-1}}(B_r(0))$  and by the following relation

$$\left| \sigma(x)^{\frac{1}{p}} f(u_n(x))\chi_{\Theta_n} \right|^p \leq C_{\sigma,\rho} C \rho(x) |u_n(x)|^{\frac{p}{p-1}}$$

we deduce the sequence  $\{\sigma(x)^{\frac{1}{p}} f(u_n(x)) \chi_{\Theta_n}\}$  is bounded in  $L^p(B_r(0))$ . Then, since

$$\int_{B_r(0)} \sigma(x) f(u_n) \chi_{\Theta_n} v \, dx = \int_{B_r(0)} \left( \sigma(x)^{\frac{1}{p}} f(u_n) \chi_{\Theta_n} \right) \left( \sigma(x)^{\frac{p-1}{p}} v \right) \, dx,$$

by pointwise convergence we get (2.28). Now, assume  $(\rho\sigma_2)$  holds and  $f$  satisfies  $(f_2)$ . By Proposition 2 (ii) we have  $\sigma(x)^{\frac{1}{m}} v \in L^m(B_r(0))$  for any  $v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(B_r(0))$  and the sequence  $\{\sigma(x)^{\frac{m-1}{m}} f(u_n(x)) \chi_{\Theta_n}\}$  is bounded in  $L^{\frac{m}{m-1}}(B_r(0))$  since

$$\left| \sigma(x)^{\frac{m-1}{m}} f(u_n(x)) \chi_{\Theta_n} \right|^{\frac{m}{m-1}} \leq C \sigma(x) |u_n(x)|^m.$$

Then, pointwise convergence together with

$$\int_{B_r(0)} \sigma(x) f(u_n) \chi_{\Theta_n} v \, dx = \int_{B_r(0)} \left( \sigma(x)^{\frac{m-1}{m}} f(u_n) \chi_{\Theta_n} \right) \left( \sigma(x)^{\frac{1}{m}} v \right) \, dx$$

allow us to show (2.28) also in this second case. Finally, it remains to prove that

$$(2.29) \quad \lim_{n \rightarrow +\infty} \int_{B_r(0)} \sigma(x) f(u_n) \chi_{\Theta_n^c} v \, dx = \int_{B_r(0)} \sigma(x) f(u) \chi_{\Theta^c} v \, dx$$

where  $\Theta_n^c$  (resp.  $\Theta^c$ ) denotes the complement of  $\Theta_n$  (resp.  $\Theta$ ) and  $\chi_{\Theta_n^c}$  (resp.  $\chi_{\Theta^c}$ ) its characteristic function. In both cases, by  $(f_3)$  the sequence  $\{\sigma(x) f(u_n(x)) \chi_{\Theta_n^c}\}$  is bounded in  $L^{\frac{(\frac{p}{p-1})^{**}}{(\frac{p}{p-1})^{**}-1}}(B_r(0))$  since

$$\left| \sigma(x) f(u_n(x)) \chi_{\Theta_n^c} \right|^{\frac{(\frac{p}{p-1})^{**}}{(\frac{p}{p-1})^{**}-1}} \leq C_\sigma \frac{(\frac{p}{p-1})^{**}}{(\frac{p}{p-1})^{**}-1} C_1 |u_n(x)|^{\left(\frac{p}{p-1}\right)^{**}},$$

therefore (2.29) follows by pointwise convergence and since  $v \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(B_r(0))$ . By (2.28) and (2.29) we conclude (2.26) is satisfied.

At this point we prove (2.27) by distinguishing here also two cases. In the first case, assume that  $(\rho\sigma_1)$  holds. From  $(f_1)$  and  $(f_3)$ , fixing  $w \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right)$  and taking  $\varepsilon > 0$ , there exists  $C > 0$  such that

$$(2.30) \quad \sigma(x) |f(s)| \leq \varepsilon C \left( \rho(x) |s|^{\frac{1}{p-1}} + |s|^{\left(\frac{p}{p-1}\right)^{**}-1} \right) + C \sigma(x) |s|^{w-1}$$

for all  $s \in \mathbb{R}$  and  $x \in \mathbb{R}^N$ .

Indeed, given  $\varepsilon > 0$ , from  $(f_1)$  and  $(\rho\sigma_1)$  there exists  $s_0 > 0$  such that

$$\sigma(x) |f(s)| \leq \varepsilon C_{\sigma, \rho} \rho(x) |s|^{\frac{1}{p-1}} \quad \text{for all } s \in \mathbb{R}, |s| \leq s_0 \text{ and } x \in \mathbb{R}^N.$$

Moreover, from  $(\sigma_1)$  and by  $(f_3)$  we can find  $s_1 > 0$  such that

$$\sigma(x) |f(s)| \leq \varepsilon C_\sigma |s|^{\left(\frac{p}{p-1}\right)^{**}-1} \quad \text{for all } s \in \mathbb{R}, |s| \geq s_1 \text{ and } x \in \mathbb{R}^N.$$

Since the functions involved are continuous we get the existence of a constant  $c > 0$  such that

$$\sigma(x) |f(s)| \leq C \sigma(x) |s|^{w-1} \quad \text{for all } s \in \mathbb{R}, s_0 \leq |s| \leq s_1 \text{ and } x \in \mathbb{R}^N.$$

Therefore, for any fixed  $w \in \left(\frac{p}{p-1}, \left(\frac{p}{p-1}\right)^{**}\right)$ , in correspondence of  $\varepsilon > 0$  there exists a constant  $C > 0$  such that (2.30) holds.

Now, since  $\{u_n\}$  is a sequence such that  $u_n \rightharpoonup u$  in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ , for any radius  $r > 0$  we get

by Hölder inequality, continuous embeddings in (2.1), Proposition 2 (i), (2.5) and (2.6) the following

$$\begin{aligned}
\int_{B_{\tilde{r}}^c(0)} \sigma(x) |f(u_n)| |v| dx &\leq \varepsilon C \left( \int_{B_{\tilde{r}}^c(0)} \rho(x) |u_n|^{\frac{1}{p-1}} |v| dx + \int_{B_{\tilde{r}}^c(0)} |u_n|^{\left(\frac{p}{p-1}\right)^{**}-1} |v| dx \right) \\
&\quad + C \int_{B_{\tilde{r}}^c(0)} \sigma(x) |u_n|^{w-1} |v| dx \\
&\leq \varepsilon C \left( |u_n|^{\frac{1}{p-1}, \rho} |v|_{\frac{p}{p-1}, \rho} + |u_n|^{\left(\frac{p}{p-1}\right)^{**}-1} |v|_{\left(\frac{p}{p-1}\right)^{**}} \right) \\
&\quad + C \int_{B_{\tilde{r}}^c(0)} (\sigma(x))^{\frac{w-1}{w}} |u_n|^{w-1} (\sigma(x))^{\frac{1}{w}} |v| dx \\
&\leq \varepsilon C_1 \left( |u_n|^{\frac{1}{p-1}, \rho} + |u|^{\left(\frac{p}{p-1}\right)^{**}-1} \right) \|v\|_{\mathcal{D}_{\rho}^{2, \frac{p}{p-1}}} \\
&\quad + C_1 \left( \int_{B_{\tilde{r}}^c(0)} \sigma(x) |u_n|^w dx \right)^{\frac{w-1}{w}} \|v\|_{\mathcal{D}_{\rho}^{2, \frac{p}{p-1}}}
\end{aligned}$$

for any  $v \in \mathcal{D}_{\rho}^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . Denoted by

$$R(u_n) = C_1 \left( |u_n|^{\frac{1}{p-1}, \rho} + |u|^{\left(\frac{p}{p-1}\right)^{**}-1} \right),$$

it is not difficult to observe that by (2.1) we get  $R(u_n)$  is bounded from above by a positive constant  $C_2$  for all  $n \in \mathbb{N}$  while since Proposition 2 (i) implies

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} \sigma(x) |u_n|^w dx = \int_{\mathbb{R}^N} \sigma(x) |u|^w dx,$$

there exists a radius  $r_1 > 0$  such that

$$\left( \int_{B_{r_1}^c(0)} \sigma(x) |u_n|^w dx \right)^{\frac{w-1}{w}} \leq \varepsilon \quad \text{for all } n \in \mathbb{N}.$$

Then,

$$\int_{B_{r_1}^c(0)} \sigma(x) |f(u_n)| |v| dx \leq \varepsilon C_1 (C_2 + 1) \|v\|_{\mathcal{D}_{\rho}^{2, \frac{p}{p-1}}} \quad \text{for all } n \in \mathbb{N}$$

and choosen a radius  $r_2 \geq r_1 > 0$  by Fatou's Lemma it is also

$$\int_{B_{r_2}^c(0)} \sigma(x) |f(u)| |v| dx \leq \varepsilon C_1 (C_2 + 1) \|v\|_{\mathcal{D}_{\rho}^{2, \frac{p}{p-1}}} \quad \text{for all } n \in \mathbb{N}.$$

Consequently, for a suitable choice of the radius  $r > 0$  we conclude (2.27) holds.

Now we prove the second case; indeed, we assume  $(\rho\sigma_2)$  holds. From (2.14), given  $\varepsilon > 0$  there exists  $r > 0$  such that

$$\sigma(x) |f(s)| \leq \varepsilon C \left( \rho(x) |f(s)| |s|^{\frac{p}{p-1}-m} + |f(s)| |s|^{\left(\frac{p}{p-1}\right)^{**}-m} \right) \quad \text{for all } s \in \mathbb{R} \text{ and } |x| \geq r.$$

By  $(f_2)$ , for any  $\varepsilon > 0$  there exists  $s_0 > 0$  such that

$$|f(s)| \leq \varepsilon |s|^{m-1} \quad \text{for all } s \in \mathbb{R}, |s| \leq s_0$$

then,

$$\sigma(x)|f(s)| \leq \varepsilon C \left( \rho(x)|s|^{\frac{1}{p-1}} + |s|^{\left(\frac{p}{p-1}\right)^{**}-1} \right) \text{ for all } s \in \mathbb{R}, |s| \leq s_0 \text{ and } |x| \geq r.$$

Moreover, from  $(f_3)$  and  $(\sigma_1)$  for any given  $\varepsilon > 0$  there exists  $s_1 > s_0 > 0$  such that

$$\frac{\sigma(x)|f(s)|}{\rho(x)|s|^{\frac{1}{p-1}} + |s|^{\left(\frac{p}{p-1}\right)^{**}-1}} \leq C_\sigma \frac{|f(s)|}{|s|^{\left(\frac{p}{p-1}\right)^{**}-1}} \leq \varepsilon C_\sigma \text{ for all } s \in \mathbb{R}, |s| \geq s_1$$

which implies

$$\sigma(x)|f(s)| \leq \varepsilon C \left( \rho(x)|s|^{\frac{1}{p-1}} + |s|^{\left(\frac{p}{p-1}\right)^{**}-1} \right) \text{ for all } s \in \mathbb{R}, |s| \geq s_1 \text{ and } x \in \mathbb{R}^N.$$

Therefore, there exist  $C > 0$  and  $s_1 > s_0 > 0$  satisfying

$$(2.31) \quad \sigma(x)|f(s)| \leq \varepsilon C \left( \rho(x)|s|^{\frac{1}{p-1}} + |s|^{\left(\frac{p}{p-1}\right)^{**}-1} \right) \text{ for every } s \in \Omega^c \text{ and } |x| \geq r$$

where  $\Omega^c = \{s \in \mathbb{R} : |s| < s_0 \text{ or } |s| > s_1\}$ .

In correspondence of the radius  $r > 0$  by (2.31) and (1.8) in Remark 2 we can write

$$\begin{aligned} \int_{B_r^c(0)} \sigma(x)|f(u_n)||v| dx &= \int_{B_r^c(0) \cap \Omega_n^c} \sigma(x)|f(u_n)||v| dx + \int_{B_r^c(0) \cap \Omega_n} \sigma(x)|f(u_n)||v| dx \\ &\leq \varepsilon C \int_{B_r^c(0) \cap \Omega_n^c} \left( \rho(x)|u_n|^{\frac{1}{p-1}} + |u_n|^{\left(\frac{p}{p-1}\right)^{**}-1} \right) |v| dx \\ &\quad + \varepsilon \int_{B_r^c(0) \cap \Omega_n} \sigma(x)|u_n|^{m-1}|v| dx + C_\varepsilon \int_{B_r^c(0) \cap \Omega_n} \sigma(x)|u_n|^{\left(\frac{p}{p-1}\right)^{**}-1}|v| dx \end{aligned}$$

where we denote by  $\Omega_n = \{x \in \mathbb{R}^N : s_0 \leq |u_n(x)| < s_1\}$  and its complement by  $\Omega_n^c = \{x \in \mathbb{R}^N : |u_n(x)| < s_0 \text{ or } |u_n(x)| > s_1\}$ . So, reasoning similarly as in the previous step, by Hölder inequality and the definition of  $R(u_n)$  we obtain

$$\begin{aligned} \int_{B_r^c(0)} \sigma(x)|f(u_n)||v| dx &\leq \varepsilon C R(u_n) \|v\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} \\ &\quad + \varepsilon s_1^{m-1} c \left( \int_{B_r^c(0) \cap \Omega_n} \sigma(x) dx \right)^{\frac{m-1}{m}} \|v\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} + C_\varepsilon C_\sigma |u_n|^{\left(\frac{p}{p-1}\right)^{**}-1} |v|_{\left(\frac{p}{p-1}\right)^{**}} \end{aligned}$$

and in particular

$$\begin{aligned} \int_{B_r^c(0)} \sigma(x)|f(u_n)||v| dx &\leq (\varepsilon C + C_\varepsilon C_\sigma c) R(u_n) \|v\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} \\ &\quad + \varepsilon s_1^{m-1} c \left( \int_{B_r^c(0) \cap \Omega_n} \sigma(x) dx \right)^{\frac{m-1}{m}} \|v\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}. \end{aligned}$$

By the boundedness of  $R(u_n)$  for all  $n \in \mathbb{N}$  and the fact that  $\sup_{n \in \mathbb{N}} |\text{meas}(\Omega_n)| < +\infty$  which

allows us to apply  $(\sigma_2)$ , we can exploit some arguments as in the proof of Proposition 2 thus obtaining (2.27).  $\square$

## 3. PROOF OF THEOREM 1

At this point we prove that  $I$  satisfies the Mountain Pass geometry (see [2]).

**Lemma 2.** *Under assumptions  $(\rho_1)$ ,  $(\sigma_1)$ ,  $(\rho\sigma_1)$  (resp.  $(\rho\sigma_2)$ ),  $(f_1)$  (resp.  $(f_2)$ ),  $(f_3)$  and  $(f_4)$ , the functional  $I$  has a mountain pass geometry, that is*

$$(I_0) \quad I(0) = 0;$$

$$(I_{\delta_0}) \quad \text{there exist } \rho_0, \delta_0 > 0 \text{ such that } I(u) \geq \delta_0 \text{ for all } u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) \text{ with } \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} = \rho_0;$$

$$(I_{u_0}) \quad \text{there exists } u_0 \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) \text{ such that } \|u_0\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} > \rho_0 \text{ and } I(u_0) \leq 0.$$

*Proof.*  $(I_0)$  By (1.7) (resp. (1.9)) in Remark 2 we get easily  $I(0) = 0$ .

$(I_{\delta_0})$  First suppose that  $(\rho\sigma_1)$  holds. Then, by  $(\sigma_1)$ , (1.7) in Remark 2 and (2.1) in correspondence of  $\varepsilon > 0$  there exists  $C_\varepsilon > 0$  such that

$$\begin{aligned} I(u) &= \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - \int_{\mathbb{R}^N} \sigma(x) F(u) dx \\ &\geq \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - C_{\sigma, \rho} \varepsilon \frac{(p-1)}{p} |u|_{\left(\frac{p}{p-1}\right), \rho} - C_\sigma C_\varepsilon \frac{1}{\left(\frac{p}{p-1}\right)^{**}} |u|_{\left(\frac{p}{p-1}\right)^{**}} \\ &\geq \frac{p-1}{p} (1 - \varepsilon C_{\sigma, \rho} c) \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - C_\sigma C_\varepsilon \frac{1}{\left(\frac{p}{p-1}\right)^{**}} c_1 \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\left(\frac{p}{p-1}\right)^{**}} \end{aligned}$$

for every  $u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . Since  $\frac{p}{p-1} < \left(\frac{p}{p-1}\right)^{**}$ , taken  $\|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} = \rho$  with  $\rho$  and  $\varepsilon > 0$  small enough, we get  $(I_{\delta_0})$  holds for a suitable  $\delta_0$ .

Now, suppose that  $(\rho\sigma_2)$  holds. Then, by  $(\sigma_1)$ , (1.9) in Remark 2 and choosen a radius  $r > 0$ , in correspondence of  $\varepsilon > 0$  there exists  $C_\varepsilon > 0$  such that (2.7) holds and by substituting (2.8) and (2.10) in (2.7) we get (2.11) then

$$\begin{aligned} I(u) &\geq \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - \frac{\varepsilon}{m} \left( C_\sigma c |u|_{\left(\frac{p}{p-1}\right)^{**}}^m + \varepsilon C_m^{-1} \left( |u|_{\left(\frac{p}{p-1}\right), \rho}^m + |u|_{\left(\frac{p}{p-1}\right)^{**}}^m \right) \right) \\ &\quad - \frac{C_\varepsilon C_\sigma}{\left(\frac{p}{p-1}\right)^{**}} |u|_{\left(\frac{p}{p-1}\right)^{**}} \\ &\geq \left( \frac{p-1}{p} - \frac{\varepsilon^2}{m} C_m^{-1} C_1 \right) \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - \frac{\varepsilon}{m} C_\sigma C_2 \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^m \\ &\quad - \left( \frac{\varepsilon^2}{m} C_m^{-1} C_3 - \frac{C_\varepsilon C_\sigma}{\left(\frac{p}{p-1}\right)^{**}} C_4 \right) \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\left(\frac{p}{p-1}\right)^{**}} \end{aligned}$$

where in the last inequality we have exploited (2.1). Since  $\frac{p}{p-1} < m < \left(\frac{p}{p-1}\right)^{**}$ , taken  $\|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} = \rho$  with  $\rho$  and  $\varepsilon > 0$  small enough, we get  $(I_{\delta_0})$  holds again for a suitable  $\delta_0$ .

$(I_{u_0})$  Let us fix  $\bar{v} \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N) \cap C_c(\mathbb{R}^N)$  with  $\bar{v} \neq 0$ ; thus, taken  $t > 0$ , by (1.11) in Remark

3 and  $\mu > \frac{p}{p-1}$  we get

$$I(t\bar{v}) \leq \frac{p-1}{p} t^{\frac{p}{p-1}} \|\bar{v}\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - C t^\mu \int_{\text{supp}(\bar{v})} \sigma(x) |\bar{v}|^\mu dx + C_1 \int_{\text{supp}(\bar{v})} \sigma(x) dx.$$

Let us observe that by  $(\sigma_1)$  it is

$$\int_{\text{supp}(\bar{v})} \sigma(x) dx \leq C_\sigma \text{meas}(\text{supp}(\bar{v})) < +\infty;$$

moreover, since  $\mu < \left(\frac{p}{p-1}\right)^{**}$ , by exploiting  $L^{\left(\frac{p}{p-1}\right)^{**}}(\text{supp}(\bar{v})) \hookrightarrow L^\mu(\text{supp}(\bar{v}))$  and (2.1) we get

$$\int_{\text{supp}(\bar{v})} \sigma(x) |\bar{v}|^\mu dx \leq C_\sigma c \left( \int_{\text{supp}(\bar{v})} |\bar{v}|^{\left(\frac{p}{p-1}\right)^{**}} dx \right)^{\frac{\mu}{\left(\frac{p}{p-1}\right)^{**}}} \leq C_\sigma C \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^\mu < +\infty.$$

Therefore, as  $t \rightarrow +\infty$  it follows  $I(t\bar{v}) \rightarrow -\infty$  and, taken  $u_0 = t\bar{v}$  with  $t$  sufficiently large we conclude that  $(I_{u_0})$  is satisfied.  $\square$

Indeed, we are able to prove that the functional  $I$  satisfies the following lemma.

**Lemma 3.** *Under assumptions  $(\rho_1)$ ,  $(\sigma_1)$ ,  $(\rho\sigma_1)$  (resp.  $(\rho\sigma_2)$ ),  $(f_1)$  (resp.  $(f_2)$ ),  $(f_3)$  and  $(f_4)$ , the functional  $I$  satisfies*

$(I'_{\mathcal{U}_0})$  for every finite-dimensional subspace  $\mathcal{U}_0 \subset \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  there exists a  $R = R(\mathcal{U}_0)$  such that  $I(u) \leq 0$  for every  $u \in \mathcal{U}_0 \setminus B_R(\mathcal{U}_0)$ .

*Proof.* Now, fix  $u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  and  $s_0 > 0$  with  $|s_0| \leq 1$ . Denote  $\Omega_{u, s_0} = \{x \in \mathbb{R}^N : |u(x)| \geq s_0\}$ . By  $(\sigma_1)$ , (1.10) in Remark 3 and  $\mu > \frac{p}{p-1}$ , we have that

$$\begin{aligned} I(u) &\leq \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - C \int_{\Omega_{u, s_0}} \sigma(x) |u|^\mu dx \\ &= \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - C \int_{\mathbb{R}^N} \sigma(x) |u|^\mu dx + C \int_{\mathbb{R}^N \setminus \Omega_{u, s_0}} \sigma(x) |u|^\mu dx \\ &\leq \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - C \int_{\mathbb{R}^N} \sigma(x) |u|^\mu dx + C C_\sigma \int_{\mathbb{R}^N \setminus \Omega_{u, s_0}} |u|^\mu dx \\ &\leq \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - C \int_{\mathbb{R}^N} \sigma(x) |u|^\mu dx + C C_\sigma \int_{\mathbb{R}^N \setminus \Omega_{u, s_0}} |u|^{\frac{p}{p-1}} dx \\ &\leq \frac{p-1}{p} \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - C \int_{\mathbb{R}^N} \sigma(x) |u|^\mu dx + C C_\sigma |u|^{\frac{p}{p-1}}. \end{aligned}$$

Then, by (2.1) and  $(\rho_1)$  it follows

$$(3.1) \quad I(u) \leq \left( \frac{p-1}{p} + C C_\sigma c \right) \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} - C \int_{\mathbb{R}^N} \sigma(x) |u|^\mu dx.$$

Let  $\mathcal{U}_0$  be a finite dimensional subspace of  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . Clearly the term  $\left( \int_{\mathbb{R}^N} \sigma(x) |u|^\mu dx \right)^{\frac{1}{\mu}}$  is a norm in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ , hence by (3.1) and the equivalence of all norms in  $\mathcal{U}_0$ , there exists

a positive constant  $R = R(\mathcal{U}_0)$  such that

$$I(u) \leq 0 \quad \text{if } u \in \mathcal{U}_0, \|u\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}} \geq R$$

and  $(I'_{\mathcal{U}_0})$  is proved.  $\square$

Since by Lemma 2 the functional  $I$  has the Mountain pass geometry, hence there exists a Palais-Smale sequence  $\{u_n\} \subset \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  at level  $c_{MP}$ , namely such that

$$(3.2) \quad I(u_n) \rightarrow c_{MP}$$

and

$$(3.3) \quad dI(u_n) \rightarrow 0 \quad \text{in } \mathcal{D}_\rho^{-2, \frac{p}{p-1}}(\mathbb{R}^N),$$

where  $c_{MP}$  is the minimax level of the Mountain Pass Theorem (see [2]) applied to  $I$ , that is

$$c_{MP} = \inf_{\eta \in \Gamma} \max_{t \in [0,1]} I(\eta(t))$$

with

$$\Gamma = \left\{ \eta \in C([0,1], \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)) : \eta(0) = 0 \quad \text{and} \quad I(\eta(t)) \leq 0 \right\}.$$

At this point, by exploiting Proposition 3, we can prove that  $I$  satisfies (PS) condition at level  $c_{MP}$ .

**Lemma 4.** *Suppose that  $\rho$  and  $\sigma$  satisfy  $(\rho_1)$ ,  $(\sigma_1)$ ,  $(\sigma_2)$ ,  $(\rho\sigma_1)$  (resp.  $(\rho\sigma_2)$ ) and  $f$  verifies  $(f_1)$  (resp.  $(f_2)$ ),  $(f_3)$  and  $(f_4)$ . Then, every Palais-Smale sequence  $\{u_n\}$  in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  at level  $c_{MP}$  converges in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ , up to subsequences.*

*Proof.* Let  $\{u_n\}$  be a (PS) sequence at level  $c_{MP}$ , namely satisfying (3.2) and (3.3). Then by (2.2),  $(\sigma_1)$  and  $(f_4)$  it follows

$$\begin{aligned} c(1 + \|u_n\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}) &\geq \mu I(u_n) - dI(u_n)[u_n] \\ &= \left( \mu \frac{p-1}{p} - 1 \right) \|u_n\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}} + \int_{\mathbb{R}^N} \sigma(x) (f(x, u_n)u_n - \mu F(x, u_n)) dx \\ &\geq \left( \mu \frac{p-1}{p} - 1 \right) \|u_n\|_{\mathcal{D}_\rho^{2, \frac{p}{p-1}}}^{\frac{p}{p-1}}, \end{aligned}$$

for a suitable positive constant  $c$ . Hence,  $\{u_n\}$  is bounded in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . So, there exists  $u \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  such that, up to subsequences,  $u_n \rightharpoonup u$  weakly in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  and, from Proposition 3,

$$(3.4) \quad dI_{\sigma, F}(u_n) \rightarrow dI_{\sigma, F}(u) \quad \text{in } \mathcal{D}_\rho^{-2, \frac{p}{p-1}}(\mathbb{R}^N).$$

Thus, by (3.3) we get

$$\begin{aligned} o_n(1) &= (dI(u_n) - dI(u))[u_n - u] \\ &= (dI_\rho(u_n) - dI_\rho(u))[u_n - u] - (dI_{\sigma, F}(u_n) - dI_{\sigma, F}(u))[u_n - u] \end{aligned}$$

as  $n \rightarrow +\infty$  which together with (3.4) implies

$$(dI_\rho(u_n) - dI_\rho(u))[u_n - u] \rightarrow 0 \quad \text{if } n \rightarrow +\infty,$$

i.e.,

$$(3.5) \quad \int_{\mathbb{R}^N} ((-\Delta u_n)^{\frac{1}{p-1}} - (-\Delta u)^{\frac{1}{p-1}})(-\Delta u_n - (-\Delta u))dx + \int_{\mathbb{R}^N} \rho(x)(u_n^{\frac{1}{p-1}} - u^{\frac{1}{p-1}})(u_n - u)dx \rightarrow 0.$$

Now, let us remark that, as  $\frac{1}{p-1} \geq 1$ , it is not difficult to prove that a constant  $C > 0$  exists such that

$$(s^{\frac{1}{p-1}} - t^{\frac{1}{p-1}})(s - t) \geq C|s - t|^{\frac{p}{p-1}} \quad \text{for all } s, t \in \mathbb{R};$$

whence the conclusion follows from (3.5) and  $(\rho_1)$ . □

At this point, we can prove Theorem 1.

*Proof of Theorem 1.* By Proposition 1 we get the functional  $I \in C^1(\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N))$  and by Lemma 2 it has the Mountain Pass geometry. Moreover, Lemma 4 implies that  $I$  satisfies the Palais–Smale condition in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ . Whence, the classical Mountain Pass Theorem applies (see [2, Theorem 2.1]) and a critical point  $\bar{u}$  of  $I$  in  $\mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  hence a weak solution  $\bar{u} \in \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  of problem (1.3) exists.

Furthermore, if also condition  $(f_5)$  holds, the functional  $I$  is even. Then by  $(I_0)$  and  $(I_{\delta_0})$  in Lemma 2, Lemma 3 and Lemma 4 we get the hypotheses of the Symmetric version of Mountain Pass Theorem are satisfied (see [2, Corollary 2.9]) and  $I$  has an unbounded sequence  $\{\bar{u}_n\} \subset \mathcal{D}_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  of critical points. □

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